Operating Manual Update

# The Guide to Processing Personnel Actions Update 105 - Chapter 11

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**Distribution:** Operating Manual, The Guide to Processing Personnel Actions

U.S. Office of Personnel Management

#### A-2

#### Summary of Changes, Chapter 11 (Update 105)

Page	Location	Explanation of Changes
Entire chapter	Entire chapter	<ul> <li>Removed all symbols that annotate previous changes throughout the chapter.</li> <li>Replaced most instances of "Standard Form" with "SF."</li> </ul>
		<ul> <li>Made minor edits to improve clarity throughout.</li> </ul>
2-3	Sections 2, 3	Added <b>ZBB</b> legal authority to paragraph 3d to conform to updates made to most of the tables in this chapter in <u>Update 83.</u>

#### **Listing of Specific Changes to Chapter 11**

Chapter	Page Number(s)	Explanation of Changes
11	2	<b>Definitions: Provisional Appointment</b>
		Revised Provisional Appointment definition to: A designation an agency may give to a temporary appointment made under conditions specified in 5 CFR 316.403 when the agency intends later to convert the employee to a nontemporary appointment when required conditions are met. This designation provides eligibility for retirement coverage, health benefits, and life insurance pending such conversion.
11	2	Definitions: Provisional Appointment Not-to- Exceed (NTE)
		Revised Provisional Appointment NTE definition to: The nature of action used for a temporary appointment made under conditions specified in 5 CFR 316.403 when the agency intends later to convert the employee to a nontemporary appointment when required conditions are met. Appointments designated as provisional provide eligibility for retirement coverage, health benefits, and life insurance.

#### A-3

Chapter	Page Number(s)	Explanation of Changes
11	3	Special Conditions: Appointments funded by certain laws
		Revised language to: Some appointments are cited with a second legal authority to document that they are funded by a specific law. For these appointments, cite the first legal authority code(s) according to Tables 11-A through 11-C and cite the second legal authority as:
11	9-19	Tables 11-A and 11-B, Note 1
		Reworded to clarify that <b>ZLM</b> is suitable as a second authority in addition to the primary legal authority codes listed in Tables 11-A and 11-B.
11	11-16	Table 11-A, Note 3
		Removed note 3 in its entirety to reflect OPM Director's memo dated November 15, 2007, which revised guidance to agencies regarding the use of the Bilingual/Bicultural Factors hiring flexibility.
11	21	Table 11-C, Note 3
		Clarified the note to indicate that an employment or working agreement should be attached to the SF-50 when rule 10 applies.
11	23	Table 11-C, Rule23
		Updated remark text for <b>A39</b> to reflect final ruling to update Pathways Program.
11	27	Table 11-C, Rule 60
		Updated remark text for <b>P83</b> to reflect ongoing freeze for appointees paid on the Executive Schedule and other senior political positions.
11	29	Table 11-C, Rules 82-84
		Corrected typo in regulatory citation in the "And" column.

#### The Guide to Processing Personnel Actions

#### A-4

Chapter	Page Number(s)	Explanation of Changes
11	32	Figure 11-1  Corrected typo from Update 83 that inadvertently left legal authority code XZM from the agency-specific Schedule A authority entry in the table.

# **Chapter 11: Excepted Service Appointments Natures of Action 130, 170, 171, 190, 570, 571, 590, 760**

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New text changes and/or additions are distinguished in >dark red font surrounded by angled brackets<. Deletion/removal of text is distinguished with \*\*\* in green font.

#### 1. Coverage

This chapter covers all appointments, conversions to appointments, and extensions of temporary appointments that are made without regard to the competitive requirements of civil service rules and regulations, and that are not to positions in the Senior Executive Service (SES). Instructions for SES appointments are published in Chapter 13 >of this guide<.

#### 2. Definitions

- a. **Appointment.** Any personnel action that brings an individual onto the rolls (staff) of an agency.
- b. **Conversion.** The changing of an employee from one appointment to another \*\*\* (under \*\*\* the same or a different authority) in the same agency with \*\*\* a break >in service < of 3 days or less.
- c. **Extension.** The continuation of a time-limited appointment (>i.e., < one with a >not-to-exceed < [NTE] date) up to the maximum time allowed by the authority under which it was effectuated.
- d. Provisional Appointment. \*\*\* > A designation an agency may give to a temporary appointment made under conditions specified in <u>5 CFR</u> <u>316.403</u> when the agency intends later to convert the employee to a nontemporary appointment when required conditions are met. This designation provides eligibility for retirement coverage, health benefits, and life insurance pending such conversion.<</p>
- e. >Provisional Appt NTE. The nature of action used for a temporary appointment made under conditions specified in <u>5 CFR 316.403</u> when the agency intends later to convert the employee to a nontemporary appointment when required conditions are met. Appointments designated as provisional provide eligibility for retirement coverage, health benefits, and life insurance.<
- f. **Time-Limited Appointment.** A non-permanent appointment made for more than 1 year.

#### 3. Special Conditions

When making appointments in the excepted service, certain special conditions may impact the documentation of the personnel actions and

require additional instructions.

- a. **Person is retired.** When the person being appointed is retired from Federal civilian service, you must follow the instructions in Chapter 3 > (Figure 3-4) as< appropriate for excepted service appointments.
- b. **Separations by RIF**. If an employee who is >about< to be separated by reduction in force (RIF) procedures accepts a nonpermanent appointment in the same agency, each action must be documented separately, regardless of when the new appointment begins. >In this scenario,< the losing office processes a 356/Separation-RIF and the gaining office processes the new appointment. If an employee accepts an offer of assignment under \*\*\* RIF regulations to a specifically temporary position (e.g., a career employee accepts an offer >for< \*\*\* a position scheduled to be abolished in a year), >process the employee's movement to the new position
  \*\*\* as a Reassignment, Position Change, >or other action according to the< instructions in Chapter 14 of this Guide.
- c. **Concurrent employment.** If >an< employee will be employed concurrently in two (or more) agencies, follow instructions in the Federal Employees' Group Life Insurance: A Handbook for Employees, Annuitants, Compensationers and Employing Offices and the "Federal Employees' Health Benefit Program: A Handbook for Enrollees and Employing Offices to determine how >life insurance and< health benefits >should< \*\*\* be handled, and >how< to document those determinations on the SF-52 and SF-50).
- d. Appointments funded by >certain laws.
  \*\*\*>Some
  appointments are cited with a second legal authority to document that they are funded by a specific law. For these appointments, cite the first legal authority code(s) according to Tables 11-A through 11-C and cite the second legal authority as:

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Law Number	Law Title	Effective Date	Second LAC/Authority
Public Law 111-5	American Recovery and Reinvestment Act of 2009 (ARRA)	February 17, 2009	<b>ZEA:</b> Pub. L. 111-5
Public Law 117-58	Infrastructure Investment and Jobs Act (IIJA)	November 15, 2021	<b>ZBB:</b> P.L. 117-58

#### **Job Aid**

# Instructions for Processing Personnel Actions on Appointments in the Excepted Service

Step	Action
1	When actions involve persons new to your agency's rolls, compare data on the <a href="SF-52">SF-52</a> submitted by the requesting office with the <a href="job">job</a> application and other documents submitted <a href="forthe-appointee">for the appointee&lt;</a> .
	When actions involve persons already on your agency's rolls, compare data on the <u>SF-52</u> submitted by the requesting office with the last action in the employee's Official Personnel Folder (OPF) to be sure it is correct. ***
	If the employee is being converted to a new appointment on the same date that he or she returns to duty from nonpay status, both the return-to-duty (RTD) action and the conversion must be documented. Follow the instructions in Chapter 16 to document the RTD and the instructions in this chapter to document the conversion. If the actions are being documented on a single SF-52 and SF-50), enter the nature of action and authority for the RTD in blocks 5A-5F.
	If the employee will change >their< *** work schedule, or the number of hours >they work< *** on a part-time basis will change as a result of the conversion action, the new schedule/hours must be documented. Follow the instructions in Chapter 24 to select the nature of action, authority, and remarks for the change in work schedule or hours action.
	If the conversion and the change in work schedule or hours are being documented on the same <u>SF-52</u> and <u>SF-50</u> , enter the nature of action and authority for the change in work schedule or hours in blocks 6A-6F; for a Change in Hours action, enter the new hours per pay period in block 33.

Step	Action
	>If< an RTD and a conversion are effective on the same date as a change in work schedule or hours, and the RTD and conversion are being recorded on the same SF-52 and SF-50, >document the return to duty in blocks 5A-5F, the conversion in blocks 6A-6F,< the new work schedule in block 32, and the new hours in block 33.  *** >You do not need an additional SF-52/50 to document the change in work schedule or hours.<
	If the employee is voluntarily converting from a competitive service appointment to an excepted service appointment, obtain a statement from >them that they are< *** leaving the competitive service voluntarily to accept an appointment in the excepted service.
2	Use <u>Table 11-A</u> or <u>Table 11-B</u> to select the nature of action and authority for the appointment or conversion and put them in blocks 5A-5F of the <u>SF-52</u> .
	If the action is being taken under an authority that is unique to your department or agency, cite that authority (along with the authority code approved by OPM) instead of the authority and code shown in this chapter.
3	Use <u>Table 11-C</u> to select remarks *** required by OPM for the action and enter them in Part F of the <u>SF-52</u> .
	Also enter in Part F any additional remarks *** that are required by your agency's instructions or that are necessary to explain the action.
4	>Check< Chapter 3 to see what forms must be obtained or prepared with the action. You may need information from them to complete the <a href="SF-52">SF-52</a> . Follow instructions in that chapter to complete and distribute them.
5	Complete the <u>SF-52</u> as required by instructions in Chapter 4.
	>For< an excepted appointment that is "conditional," enter "2" in block 24 of the <u>SF-52</u> . For an excepted appointment that is "indefinite" or "provisional," enter "3" in block 24 of the <u>SF-52</u> .
	Follow your agency's procedures to get the approval signatures on the <u>SF-52</u> .

Step	Action
6	Follow instructions in Chapter 4 to complete the SF-50; follow your
	agency's instructions to have the <u>SF-50</u> signed or authenticated.
7	Check The Guide to Personnel Recordkeeping to decide if any of the
	documents submitted with or created in connection with the action should be filed on the right side of the employee's Official Personnel Folder.
	Follow your agency's instructions to dispose of those not filed in the folder.
8	Prepare and distribute required notices:
	If the employee is coming from another agency with no break in service (or with a break of 3 calendar days or less), make another copy of the <u>SF-50</u> (or list form of notice) and send it to the servicing personnel office in the "losing" agency, requesting that employee's Official Personnel Folder and <u>SF-1150</u> , <u>Leave Record</u> , be forwarded to your office.
	If you cannot send a copy of the appointment <u>SF-50</u> (the "pick-up 50") to the losing agency within 5 days of the effective date of the appointment, send a copy of the appointment <u>SF-52</u> instead. The copy must be signed by the appointing official in Part C, block 2 of the <u>SF-52</u> .
	Note: When the gaining agency is using an OPM-approved electronic <u>SF-52</u> or <u>SF-52</u> signature system, use a letter or other written document as required by the losing agency to request the OPF. The request letter or other written document as required by the losing agency must be signed by the appointing official, and contain the information in Part B, blocks 1-6 and 15-22 of the <u>SF-52</u> .
	>If the employee< *** is being converted to a new appointment and will be serviced by a new payroll office, give the employee a completed SF-8, Notice to Federal Employee About Unemployment Insurance, before the effective date of the conversion. Show the full name and address of the payroll office where the individual's records are maintained.
9	Follow your agency's instructions for distributing the *** <u>SF-50</u> .

### **Tables**

#### **Table Summary: Table 11-A. Excepted Service Appointments**

If Excepted Appointment Is	Go to Rules
On a provisional basis	5-6
Under VRA authority	7-11
Based on a move from the SES	12-14
By the President	16-23
Of an Expert or Consultant	24-27
Of a foreign national overseas	28-31
Under the IPA	32
Not listed above: Under Schedule A, B, C or D authority	1-4, >33-51<
Not listed above: Under another authority	>52-55<
Not listed above: Extension of an Excepted Appt	>56-57<

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Table 11-A. Excepted Appointments that Are Not Based on Exercise of Reemployment or Restoration Rights

Rule	If the Person	And the Appointment	And the Person	Then NOAC Is	NOA Is	Auth Code Is	And Authority Is (See Notes 1-4, 9)	Notes	Remarks	
1	under a Schedule A, B, or C authority that is not specifically covered by the rules below  Is t	Is without time limitation	Is not on your agency's rolls	170	Exc Appt	Schedule A, B, or C authority that authorizes the appt. or conversion)  (Cite code for the Sch A, B, or C, statutory, or regulatory authority for the appointment)  (Cite the Sch A, B, or C, statutory, or regulatory authority for the appointment)	1. >In addition to any other authorities required by this table, you may cite< "ZLM: Other Citation (law, E.O., or Reg.)" >as a second authority when	Use as many remarks from <u>Table</u>		
2		the rules below	Is already on your agency's rolls	570	Conv to Exc Appt			appropriate. < If a <u>SF-59, Request</u> for Approval of Non-Competitive	11-C as are applicable.	
3		Is temporary or time- limited	Is not on your agency's rolls	171	Exc Appt NTE (date)					
4			Is already on your agency's rolls	571	Conv to Exc Appt NTE (date)					
5	Is being appointed on a temporary basis to a continuing position		Is not on your agency's rolls	190	Provisional Appt NTE (date)		or C, statutory, or regulatory authority for the appt)  4. For information on Schedule A B, C, and D, see <u>5 CFR 213</u> . For authority codes for Schedules A, C, and D, see <u>Figure 11-1</u> , or <u>The Guide to Data Standards</u> .  9. Use Secondary LAC "ZBB" for actions in support of the (BIL) Infrastructure Investment and Jo		3. *** >Reserved < 4. For information on Schedule A,	
6	when the agency intends later to convert the employee to a nontemporary position and has current authority for such conversion		Is already on your agency's rolls	590	Conv to Provisional Appt NTE (date)					
7	Is already employed under the Veterans Recruitment Appointment (VRA) in a different agency	Is to a VRA position without a break in service		130	Transfer	J8M	Pub. L. 107-288			

Rule	If the Person	And the Appointment	And the Person	Then NOAC Is	NOA Is	Auth Code Is	And Authority Is (See Notes 1-4, 9)	Notes	Remarks
8	Is being employed under the Veterans Recruitment Appointment (VRA)		Is not on your agency's rolls	170	Exc Appt	J8M	Pub. L. 107-288	authorities required by this table, you may cite< "ZLM: Other Citation (law, E.O., or Reg.)" > as a second authority when appropriate.< If a SF-59, Request for Approval of Non-Competitive	Use as many remarks from <u>Table</u>
9	on an appointment without time limitation (See Note 5)		Is already on your agency's rolls	570	Conv to Exc Appt				11-C as are applicable.
10	Is being employed under the Veterans Recruitment Appointment (VRA)		Is not on your agency's rolls	171	Exc Appt NTE (date)				
11	on a temporary appointment ( <b>See</b> <b>Note 6</b> )		Is already on your agency's rolls	571	Conv to Exc Appt NTE (date)				
12	Is converted from an SES career appointment under which the individual had guaranteed placement rights to	Is based on unacceptable performance during SES probationary period	Is already on your agency's rolls	570	Conv to Exc Appt	(Cite code for authority under which conversion is based) and VDJ	(Cite the authority under which the conversion is based) and 5 U.S.C. 3594(a)		
13	an appointment in the excepted service	Is based on less than fully successful performance following SES probationary period				(Cite code for authority under which conversion is based) and VCS	(Cite the authority under which the conversion is based) and 5 U.S.C. 3594(b)(1)	Recruitment Appointments are placed in Tenure Group II of the Excepted Service.  6. These instructions apply only when the Veterans Recruitment Appointment is to a position in an excepted service agency or organization. When a Veterans	
14		Is based on a reduction in force in SES				(Cite code for authority under which conversion is based) and VCT	(Cite the authority under which the conversion is based) and 5 U.S.C. 3594(b)(2)	Recruitment Appointment is made on a temporary basis to a competitive service position, follow the instructions in <u>Chapter 10</u> .  9. Use Secondary LAC "ZBB" for actions in support of the <u>(BIL)</u> Infrastructure Investment and Jobs	
15	Reserved	***	***	***	***	***	***	Act (P.L. 117- 58).	

Rule	If the Person	And the Appointment	And the Person	Then NOAC Is	NOA Is	Auth Code Is	And Authority Is (See Notes 1-4, 9)	Notes	Remarks		
16	Is appointed by President with advice and consent of Senate ( <b>See</b>	Is without time limitation	Is not on your agency's rolls	170	Exc Appt	ZNM	(Enter Law, E.O., or Reg. that authorizes Presidential	at authorities required by this table, you may cite < "ZLM: Other Citation (law, E.O., or Reg.)" >as a second authority when	Use as many remarks from <u>Table</u>		
17	Note 7)		Is already on your agency's rolls	570	Conv to Exc Appt	appointment with advice and consent of Senate)  Appt (date)  appropriate. < If a <u>SF-59</u> , Reque for Approval of Non-Competitive Action, was obtained from OPM the action, also include with the authorities "ABM: SF-59 approve (date)." Cite ABM as the last authority.  2. If appointment was made using the propriate of the series of the consent of the propriate of t	appropriate. < If a <u>SF-59</u> , <u>Request for Approval of Non-Competitive Action</u> , was obtained from OPM for the action, also include with the authorities "ABM: SF-59 approved (date)." Cite ABM as the last authority.  2. If appointment was made using special priority under the agency's Career Transition Assistance Program (CTAP), cite "ABR: <u>Reg 330.608</u> " following authorities required by this table and ZLM, if	appointment with approp for App consent of Senate)  approp for App Action, the act	appropriate. < If a <u>SF-59</u> , <u>Request</u> for Approval of Non-Competitive <u>Action</u> , was obtained from OPM for the action, also include with the	11-C as are applicable.	
18		Is temporary	Is not on your agency's rolls	171	Exc Appt NTE (date)			(date)." Cite ABM as the last authority.  2. If appointment was made using			
19			Is already on your agency's rolls	571	Conv to Exc Appt NTE (date)			Career Transition Assistance Program (CTAP), cite "ABR: Reg 330.608" following authorities	special priority under the agency's Career Transition Assistance Program (CTAP), cite "ABR: Reg 330.608" following authorities required by this table and ZLM, if used.  3. *** > Reserved < 4. For information on Schedule A, B, C, and D, see 5 CFR 213. For		
20	Is appointed by President when advice and consent of Senate is not	Is without time limitation	Is not on your agency's rolls	170	Exc Appt	ZKM	(Enter Law, E.O., or Reg. that authorizes Presidential appt)	3. *** >Reserved < 4. For information on Schedule A,			
21	required (See Note 7)		Is already on your agency's rolls	570	Conv to Exc Appt						
22	Is appointed by the President when advice and consent of the Senate is not	Is temporary	Is not on your agency's rolls	171	Exc Appt NTE (date)	ZKM	(Enter Law, E.O., or Reg. that authorizes Presidential appt)		Group 0.  9. Use Secondary LAC "ZBB" for	Group 0.  9. Use Secondary LAC "ZBB" for actions in support of the (BIL)	Group 0.  Or Use Secondary LAC "ZBB" for actions in support of the (BIL)
23	required (See Note 7)		Is already on your agency's rolls	571	Conv to Exc Appt NTE (date)		Infrastructure Investment and J Act (P.L. 117-58).				
24	Is an expert or consultant appointed under 5 U.S.C. 3109 and agency's appropriation act or other statute	Is without time limitation	Is not on your agency's rolls	170	Exc Appt	H2L	Reg. 304.103				

Rule	If the Person	And the Appointment	And the Person	Then NOAC Is	NOA Is	Auth Code Is	And Authority Is (See Notes 1-4, 9)	Notes	Remarks
25	Is an expert or consultant appointed under 5 U.S.C. 3109 and agency's	Is without time limitation	Is already on your agency's rolls	570	Conv to Exc Appt	H2L	Reg. 304.103	1. >In addition to any other authorities required by this table, you may cite< "ZLM: Other Citation (law, E.O., or Reg.)" >as a second authority when appropriate.< If a SF-59, Request	Use as many remarks from Table 11-C as
26	appropriation act or other statute	Is temporary	Is not on your agency's rolls	171	Exc Appt NTE (date)		for Approval of Non-Competitiv Action, was obtained from OPM the action, also include with th authorities "ABM: SF-59 appro (date)." Cite ABM as the last authority.  2. If appointment was made us special priority under the agen- Career Transition Assistance	for Approval of Non-Competitive Action, was obtained from OPM for the action, also include with the authorities "ABM: SF-59 approved	are applicable.
27			Is already on your agency's rolls	571	Conv to Exc Appt NTE (date)	2. If appointment was made special priority under the age Career Transition Assistance		authority.  2. If appointment was made us special priority under the agend Career Transition Assistance	authority.  2. If appointment was made using special priority under the agency's Career Transition Assistance
28	Is a foreign national recruited overseas for an overseas position	Is without time limitation	Is not on your agency's rolls	170	Exc Appt	ВРМ	CS Rule 8.3	Program (CTAP), cite "ABR: Reg 330.608" following authorities required by this table and ZLM, if used.  3. *** > Reserved <  4. For information on Schedule A, B, C, and D, see 5 CFR 213. For authority codes for Schedules A, B, C, and D, see Figure 11-1, or The Guide to Data Standards.  9. Use Secondary LAC "ZBB" for actions in support of the (BIL) Infrastructure Investment and Jobs Act (P.L. 117-58).	
29			Is already on your agency's rolls	570	Conv to Exc Appt				
30		Is temporary	Is not on your agency's rolls	171	Exc Appt NTE (date)				actions in support of the (BIL) Infrastructure Investment and Jobs
31			Is already on your agency's rolls	571	Conv to Exc Appt NTE (date)				
32	Is selected under Intergovernmental Personnel Act (IPA)	Is under the authority of 5 U.S.C. 3374	Is not on your agency's rolls	171	Exc Appt NTE (date)	VPE	5 U.S.C. 3374		

Rule	If the Person	And the Appointment	And the Person	Then NOAC Is	NOA Is	Auth Code Is	And Authority Is (See Notes 1-4, 9)	Notes	Remarks
33	Is employed under the authority of <u>Sch</u> <u>A, 213.3102(i)</u> in a position for which a critical hiring need	Is temporary	Is not on your agency's rolls	171	Exc Appt NTE (date)	W9P	Sch A, 213.3102(i)(2)	1. >In addition to any other authorities required by this table, you may cite< "ZLM: Other Citation (law, E.O., or Reg.)" >as a second authority when	Use as many remarks from Table 11-C as
34	exists		Is already on your agency's rolls	571	Conv to Exc Appt NTE (date)	for Approval of Non-Competitiv Action, was obtained from OPM the action, also include with the authorities "ABM: SF-59 approv (date)." Cite ABM as the last authority.  2. If appointment was made us special priority under the agent Career Transition Assistance	fo Ac th au (d		are applicable.
35		Is without time limitation on a less than full-time basis	Is not on your agency's rolls	170	Exc Appt		àuthority.  2. If appointment was made using special priority under the agency's Career Transition Assistance Program (CTAP), cite "ABR: Reg		
36			Is already on your agency's rolls	570	Conv to Exc Appt			required by this table and ZLM, if used.  3. *** > Reserved <	
37	Is employed as an Intern	Is under the Internship Program of the Pathways Program	Is not on your agency's rolls	170	Exc Appt	YEA	Sch D, 213.3402(a)	4. For information on Schedule A, B, C, and D, see <u>5 CFR 213</u> . For authority codes for Schedules A, B, C, and D, see <u>Figure 11-1</u> , or <u>The Guide to Data Standards</u> .	
38			Is already on your agency's rolls	570	Conv to Exc Appt			9. Use Secondary LAC "ZBB" for actions in support of the (BIL) Infrastructure Investment and Jobs Act (P.L. 117-58).	
39			Is not on your agency's rolls	171	Exc Appt NTE (date)				
40	Is employed as an Intern	Is under the Internship Program of the Pathways Program	Is already on your agency's rolls	571	Conv to Exc Appt NTE (date)	YEA	Sch D, 213.3402(a)		

Rule	If the Person	And the Appointment	And the Person	Then NOAC Is	NOA Is	Auth Code Is	And Authority Is (See Notes 1-4, 9)	Notes	Remarks	
41	Is employed as a Recent Graduate	Is under the Recent Graduates Program of	Is not on your agency's rolls	170	Exc Appt	YEB	Sch D, 213.3402(b)	1. >In addition to any other authorities required by this table, you may cite< "ZLM: Other Citation (law, E.O., or Reg.)" >as a second authority when	Use as many remarks from <u>Table</u>	
42		the Pathways Programs	Is already on your agency's rolls	570	Conv to Exc Appt			appropriate. < If a SF-59, Request for Approval of Non-Competitive Action, was obtained from OPM for the action, also include with the authorities "ABM: SF-59 approved (date)." Cite ABM as the last authority.  2. If appointment was made using special priority under the agency's Career Transition Assistance Program (CTAP), cite "ABR: Reg 330.608" following authorities required by this table and ZLM, if used.  3. *** > Reserved < 4. For information on Schedule A, B, C, and D, see 5 CFR 213. For authority codes for Schedules A, B, C, and D, see Figure 11-1, or The Guide to Data Standards.  9. Use Secondary LAC "ZBB" for actions in support of the (BIL) Infrastructure Investment and Jobs Act (P.L. 117-58).	appropriate. < If a <u>SF-59</u> , <u>Request</u> for Approval of Non-Competitive Action, was obtained from OPM for the action, also include with the authorities "ABM: SF-59 approved (date)." Cite ABM as the last authority.  2. If appointment was made using	11-C as are applicable.
43	Is already employed as a Recent Graduate in a different agency		Is not on your agency's rolls	170	Exc Appt	YEP	Reg. 362.304			(date)." Cite ABM as the last authority.  2. If appointment was made using
44	Is employed as a Presidential Management Fellow	Is under the Presidential Management Fellows	Is not on your agency's rolls	170	Exc Appt	YEC	Sch D, 213.3402(c)			
45		Program of the Pathways Program	Is already on your agency's rolls	570	Conv to Exc Appt					
46	Is already employed as a Presidential Management Fellow in a different agency	Is under the Presidential Management Fellows Program of the Pathways	Is not on your agency's rolls	170	Exc Appt	YER	Reg. 362.406		authority codes for Schedules A, B, C, and D, see Figure 11-1, or The Guide to Data Standards.  9. Use Secondary LAC "ZBB" for actions in support of the (BIL)	
47	Is being readmitted as a Presidential Management Fellow	Program	Is not on your agency's rolls	170	Exc Appt	YES	Reg. 362.407			
48			Is already on your agency's rolls	570	Conv to Exc Appt					

Rule	If the Person	And the Appointment	And the Person	Then NOAC Is	NOA Is	Auth Code Is	And Authority Is (See Notes 1-4, 9)	Notes	Remarks
49	Is currently serving on a SCEP appt which is being converted to an appt under the Internship Program of the Pathways Programs under Sch D, 213.3402(a) (See Note 8)  BEFORE citing this rule)	Is without time limitation	Is already on your agency's rolls	570	Conv to Exc Appt	YEF	Sch D, 213.3402(a) - SCEP	1. >In addition to any other authorities required by this table, you may cite< "ZLM: Other Citation (law, E.O., or Reg.)" >as a second authority when appropriate.< If a SF-59, Request for Approval of Non-Competitive Action, was obtained from OPM for the action, also include with the authorities "ABM: SF-59 approved (date)." Cite ABM as the last authority.  2. If appointment was made using special priority under the agency's	Use as many remarks from Table 11-C as are applicable.
50	Is currently serving on a STEP appt which is being converted to an appt under the Internship Program of the Pathways Programs under Sch D, 213.3402(a) (See Note 8 BEFORE citing this rule)	Is temporary	Is already on your agency's rolls	571	Conv to Exc Appt NTE (date)	YEG	Sch D, 213.3402(a) - STEP	Career Transition Assistance Program (CTAP), cite "ABR: Reg 330.608" following authorities required by this table and ZLM, if used.  3. *** > Reserved <  4. For information on Schedule A, B, C, and D, see 5 CFR 213. For authority codes for Schedules A, B, C, and D, see Figure 11-1, or The Guide to Data Standards.	
51	Is currently serving on a PMF appt (Reg. 213.3102(ii) and appt is being converted to an appt under the PMF Program of the Pathways Programs under Sch D, 213.3402(c) (See Note 8 BEFORE citing this rule)	Is without time limitation	Is already on your agency's rolls	570	Conv to Exc Appt	YEH	Sch D, 213.3402(c) - PMF	8. Conversion under this authority may only occur with prior approval from OPM. Please contact the Student Programs Office at pathways@opm.gov for additional information.  9. Use Secondary LAC "ZBB" for actions in support of the (BIL) Infrastructure Investment and Jobs Act (P.L. 117-58).	

Rule	If the Person	And the Appointment	And the Person	Then NOAC Is	NOA Is	Auth Code Is	And Authority Is (See Notes 1-4, 9)	Notes	Remarks	
52	Is employed under the authority of any other law, Executive Order or	Is without time limitation	Is not on your agency's rolls	170	Ext Appt	or Reg. that authorizes the appointment or conversion)  authorities required by you may cite< "ZLM: Citation (law, E.O., or second authority whe appropriate.< If a SF- for Approval of Non-C Action, was obtained to the action, also include	or Reg. that authorizes the appointment or	1. >In addition to any other authorities required by this table, you may cite< "ZLM: Other Citation (law, E.O., or Reg.)" >as a second authority when appropriate.< If a SF-59, Request for Approval of Non-Competitive Action, was obtained from OPM for the action, also include with the authorities "ABM: SF-59 approved (date)." Cite ABM as the last authority.  2. If appointment was made using special priority under the agency's Career Transition Assistance Program (CTAP), cite "ABR: Reg 330.608" following authorities required by this table and ZLM, if used.	authorities required by this table, you may cite < "ZLM: Other Citation (law, E.O., or Reg.)" >as a	Use as many remarks from <u>Table</u>
53	Reg. not covered in the preceding rules of this Table (including Experts and Consultants		Is already on your agency's rolls	570	Conv to Exc Appt		for Approval of Non-Competitive Action, was obtained from OPM the action, also include with the		11-C as are applicable.	
54	employed under agency authority similar to that of 5 U.S.C. 3109)	Is temporary	Is not on your agency's rolls	171	Exc Appt NTE (date)				(date)." Cite ABM as the last authority.  2. If appointment was made using	
55			Is already on your agency's rolls	571	Conv to Exc Appt NTE (date)					
56	Previously served on a STEP appt which was converted to an appt NTE under the Internship Program of the Pathways Programs	Is being extended	Is already on your agency's rolls	760	Ext of Appt NTE (date)	YEA	Sch D, 213.3402(a)	3. *** >Reserved <  4. For information on Schedule A, B, C, and D, see 5 CFR 213. For authority codes for Schedules A, B, C, and D, see Figure 11-1, or The Guide to Data Standards.		
57	Is serving on an Exc Appt NTE that is not described in rule 56 above					(Enter same auth code as for the Exc Appt NTE)	(Enter same authority as for the Exc Appt NTE)	9. Use Secondary LAC "ZBB" for actions in support of the (BIL) Infrastructure Investment and Jobs Act (P.L. 117-58).		

Table 11-B. Excepted Appointments Based on Exercise of Reemployment or Restoration Rights

Rule	If the Person	And	Then NOAC Is	NOA Is	Auth Code Is	And Authority Is (See Notes 1-4, 6)	Notes	Remarks
1	Is exercising statutory restoration rights upon return from uniformed service	Appointment held prior to the separation upon which restoration is based was without time limitation	170	code for the appointment held prior to the separation upon which restoration is	code for the appointment held prior to the separation upon which restoration is	1. >In addition to any other authorities required by this table, you may cite< "ZLM: Other Citation (law, E.O., or Reg.)" >as a second authority when appropriate.<	Use as many remarks from Table 11-C as are applicable.	
2		Appointment held prior to the separation upon which restoration is based was temporary	171	Exc Appt NTE (date)	based)		2. If an SF-59, Request for Approval of Non-Competitive Action, was obtained from OPM for the action, also include with the authorities "ABM: SF-59	
3	Is ordered by MSPB directive to be restored to duty when person appeals failure to restore or	Appointment held prior to the separation upon which restoration is based was without time limitation	170	Exc Appt	code for the appointment held prior to the (Cite a appointment held the set)	MSPB Directive—US and (Cite authority for the appointment held prior to the separation upon which restoration is based)	approved (date)." Cite ABM as the last authority.  3. For information on Schedule A, B, C, and D, see <u>5 CFR 213</u> . For authority codes for Schedules	
4	improper restoration after uniformed service (See Note 5)	Appointment held prior to the separation upon which restoration is based was temporary	171	Exc Appt NTE (date)	based)		<ul> <li>A, B, C, and D, see Figure 11-1, or The Guide to Data Standards.</li> <li>4. On a restoration or reemployment action, cite as the second authority the one that</li> </ul>	
5	Is exercising statutory restoration rights upon full recovery from a compensable injury		170	Exc Appt	QBK and (Cite auth code for the appointment held prior to the separation upon which reemployment is based)	Reg. 353.301 and (Cite authority for the appointment held prior to the separation upon which restoration is based)  was used for the last appointment or conversion to appointment that occurred before the employee left their agency. Use The Guide to Data Standards to identify the code for that legal authority.  5. If MSPB determines		
6	Is appointed based on their partial recovery from a compensable injury				QCK and (Cite auth code for the appointment held prior to the separation upon which reemployment is based)	Reg. 353.301(d) and (Cite authority for the appointment held prior to the separation upon which reemployment is based)	restoration was improper, cancel it following instructions in Chapter 32 of this Guide.  6. Use Secondary LAC "ZBB" for actions in support of the (BIL) Infrastructure Investment and Jobs Act (P.L. 117-58).	

Rule	If the Person	And	Then NOAC Is	NOA Is	Auth Code Is	And Authority Is (See Notes 1-4, 6)	Notes	Remarks
7	Is ordered by MSPB Directive to be restored to duty after person appeals failure to restore or improper restoration upon recovery from compensable injury (See Note 5)		170	Exc Appt	AQM and (Cite auth code for the appointment held prior to the separation upon which restoration is based)	MSPB Directive Inj and (Cite authority for the appointment held prior to the separation upon which restoration is based)	1. >In addition to any other authorities required by this table, you may cite< "ZLM: Other Citation (law, E.O., or Reg.)" >as a second authority when appropriate.< 2. If an SF-59, Request for Approval of Non-Competitive Action, was obtained from OPM for the action, also include with the authorities "ABM: SF-59	Use as many remarks from Table 11-C as are applicable.
8	Exercises reemployment rights after having moved between executive agencies during an emergency				PWM and (Cite auth code for the appointment held prior to the separation upon which reemployment is based)	Reg. 352.204 and (Cite authority for the appointment held prior to the separation upon which reemployment is based)	approved (date)." Cite ABM as the last authority.  3. For information on Schedule A, B, C, and D, see 5 CFR 213. For authority codes for Schedules A, B, C, and D, see Figure 11-1, or The Guide to Data Standards.	
9	Exercises reemployment rights after having transferred to an international organization	Is not on your agency's rolls	170	Exc Appt	P3M and (Cite auth code for the appointment held prior to separation upon which reemployment is based)	Reg. 352.311 and (Cite authority for the appointment held prior to the separation upon which reemployment is based)	4. On a restoration or reemployment action, cite as the second authority the one that was used for the last appointment or conversion to appointment that occurred before the employee left their agency. Use The Guide to Data	
10	Exercises reinstatement rights after serving in the Agency for				P5M and (Cite auth code for the appointment held prior to the separation upon	Reg. 352.507 and (Cite authority for the appointment held prior to the separation upon which reemployment is based)	Standards to identify the code for that legal authority.  5. If MSPB determines restoration was improper, cancel	
11	International Development under sections 233(d) and 625(b) of the Foreign Assistance Act	Is already on your agency's rolls	570	Conv to Exc Appt	which reemployment is based)	3333)	it following instructions in Chapter 32 of this Guide.  6. Use Secondary LAC "ZBB" for actions in support of the (BIL) Infrastructure Investment and Jobs Act (P.L. 117- 58).	

Rule	If the Person	And	Then NOAC Is	NOA Is	Auth Code Is	And Authority Is (See Notes 1-4, 6)	Notes	Remarks
12	Exercises reemployment rights after service with the American Institute in Taiwan	Is not on your agency's rolls	170	Exc Appt	P7M	Reg. 352.803	1. >In addition to any other authorities required by this table, you may cite< "ZLM: Other Citation (law, E.O., or Reg.)" >as a second authority when appropriate.<	Use as many remarks from Table 11-C as are applicable.
13	Exercises reemployment rights under circumstances not covered in Rules 1-12				ZRM and (Cite auth code for the appointment held prior to the separation upon which reemployment is based)	(Cite authority for the reemployment) and (Cite authority for the appointment held prior to the separation upon which reemployment is based)	2. If an SF-59, Request for Approval of Non-Competitive Action, was obtained from OPM for the action, also include with the authorities "ABM: SF-59 approved (date)." Cite ABM as the last authority.  3. For information on Schedule	
14		Is already on your agency's rolls	570	Conv to Exc Appt	ZRM and (Cite auth code for the appointment held prior to the separation upon which reemployment is based)	(Cite authority for the reemployment) and (Cite authority for the appointment held prior to the separation upon which reemployment is based)	A, B, C, and D, see 5 CFR 213. For authority codes for Schedules A, B, C, and D, see Figure 11-1, or The Guide to Data Standards.  4. On a restoration or reemployment action, cite as the second authority the one that was used for the last appointment or conversion to appointment that occurred before the employee left their agency. Use The Guide to Data Standards to identify the code for that legal authority.  6. Use Secondary LAC "ZBB" for actions in support of the (BIL) Infrastructure Investment and Jobs Act (P.L. 117-58).	

#### Table 11-C. Remarks to Be Shown on SF-50

Use as many remarks as are applicable.

Return to Table 11-A, 11-B

Rule	If	And	Then Remark Code Is	And Remark Is	Notes
1	Employee was required to complete an appointment affidavit, SF-		M01	Appointment affidavit executed (date).	9. The first character of the code must be either Y or Z and the
2	61Action is an appointment or a conversion to appointment		M39	Creditable Military Service: (enter yrs and mos, e.g., "6 yrs, 7 mos") [This remark is not required for reemployed Civil Service annuitants. For others enter "none" or follow the instructions in <a href="Chapter 6">Chapter 6</a> to calculate years and months of service.]	agency's remark should address the duration of the trial period. Further guidance on establishing remarks internal to an agency is in Chapter 1, Section 1-4c (2) of this Guide.
3		M40 Pr		Previous Retirement Coverage: (enter "never covered" or "previously covered") ["Previously covered" means employee was previously covered by CSRS or FERS.]	tilis duide.
4		Employee is a Recent Graduate or Fellow under the Pathways Programs	A36	The duration of a Pathways appointment under Sch D is a trial period.	
5	Appointment or conversion to appointment action requires employee to complete a trial period and Rule 4 is not applicable	Employee has not completed that trial period	Determined by employing agency (See Note 9)	Determined by employing agency. (See Note 9)	
6		Employee has already completed that trial period	E03	Trial period completed.	
7	Employee is given excepted appointment in an agency which uses an appointment system equivalent to the career-conditional	Employee has not completed the service requirement for Tenure Group I	Т09	Service counting towards permanent tenure from (date).	
8	appointment system in the competitive service	Employee has completed the service requirement for Tenure Group I	Т08	Service counting towards permanent tenure from (date) to (date).	

Rule	If	And	Then Remark Code Is	And Remark Is	Notes
9	Employee qualified for position under a training agreement under which he or she is placed directly into target occupation without first meeting qualification standards		E56	Qualified for this position only under training agreement. Not eligible for other positions in this series until satisfactorily completes prescribed training.	<ul><li>3. Be sure to attach &gt;the employment or working agreement &lt; to the *** SF-50.</li><li>4. Tenure group in block 24</li></ul>
10	Employee is a seasonal employee, i.e., one who is employed under conditions requiring a recurring period of employment of less than 2080 hours per year in which he or she is placed in nonpay status in accordance with pre-established conditions of employment		A01	Appointment is on a seasonal basis; the employee is subject to release to nonpay status and recall to duty to meet workload requirements as a condition of employment in accordance with the attached agreement. (See Note 3)	5. Service year means a consecutive 12-month period beginning with date of the first appointment under a specific authority. Calendar year means the 12-month period beginning
11	Appointment is indefinite, i.e., nonpermanent without a definite time limitation, and there is no plan (or employee is not eligible under any existing plan) for movement into the agency's permanent workforce without new examination of qualifications		E01	Appointment is indefinite.	on January 1 and ending on December 31. Unless otherwise stated, appointments limited to a specific number of hours during a year refer to a "service year." Thus, if an appointment is limited to 1040 hours during a year, the year begins on date of the appointment and runs for 12
12	Employee is currently serving in tenure group "0"	Has completed one year of current continuous employment	T11	Completed 1 year of current continuous service. (See Note 4)	consecutive months from that date.
13	Action is a Provisional Appt NTE or a Conversion to Provisional Appt NTE		E58	Appointment is on a provisional basis. You are eligible for retirement coverage and for health benefits and life insurance. If your performance is satisfactory, and you meet all legal, qualifications, and other applicable requirements, you may be converted to a nontemporary appointment before this appointment expires.	
14	Employee is given a time-limited appointment (NOA 171), or a time-limited appointment is extended		M06	Reason for temporary appointment: (state reason)	
15	Appointment is made under Schedule A, Sec. 213.3102(0)	This is the employee's first appointment under the authority during the service year (See Note 5)	A11	Employment under this appointment must not exceed (number) working days a year.	

Rule	If	And	Then Remark Code Is	And Remark Is	Notes
16	Appointment authority limits the number of days employee may work during a service year	Employee is served in your agency under another appointment under the same authority within the past year (See Note 5)	A12	Employment under this and previous appointment must not exceed (number) working days a year.	5. Service year means a consecutive 12-month period beginning with date of the first appointment under a specific authority. Calendar year means the 12-month period beginning on January 1 and ending on
17	Appointment authority limits the number of hours employee may work during a service year	This is the employee's first appointment under this authority during the service year (See Note 5)	A07	Employment under this appointment must not exceed (number) hours a year.	December 31. Unless otherwise stated, appointments limited to a specific number of hours during a year refer to a "service year."  Thus, if an appointment is limited to 1040 hours during a year, the
18	Appointment authority limits the number of hours employee may work during a service year	Employee has served in your agency under another appointment under this authority within the past year (See Note 5)	A08	Employment under this and previous appointment must not exceed (number) hours a year.	year begins on date of the appointment and runs for 12 consecutive months from that date.  6. Employees are placed in
19	Appointment is made under Schedule A, Sec. 213.3102(e)		A22	This appointment cannot be renewed. Upon admission to the Bar, you will be eligible for appointment as attorney in accordance with (agency) appointment procedures.	Tenure Group II.
20	Employee is subject to the SL or ST pay system	Rate of basic pay is equal to or above the limit that subjects an employee to coverage by post-employment restrictions under 18 U.S.C. 207(c) (i.e., 86.5% of the rate for level II of the Executive Schedule)	M97	Employee subject to post-employment restrictions under 18 U.S.C. 207(c)	
21	Appointment is made under the Pathways Programs as a Recent Graduate or Fellow under Sch D, 213.3402(b) or (c) (See Note 6)		A37	Appointment cannot exceed 2 years plus any agency approved extension of no more than 120 days. Upon satisfactory completion of the program, you may be noncompetitively converted to a permanent appointment. If your performance is not satisfactory or if you fail to satisfactorily complete this program employment will be terminated.	

Rule	If	And	Then Remark Code Is	And Remark Is	Notes
22	Appointment is made under the Pathways Programs under Sch D, 213.3402 as an Intern, Recent Graduate, or Presidential Management Fellow (See Note 6)	The employee is currently employed under a nontemporary appointment in the competitive service	A38	Employee informed in advance of the conditions of appointment under the Pathways Programs	6. Employees are placed in Tenure Group II.  12. Employees are placed in Tenure Group 0.
23	Appointment is made under the Pathways Programs as an Intern under Sch D, 213.3402(a) (See Note 6)		A39	This appointment is intended to continue through completion of education and work requirements. An agency may noncompetitively appoint you to a term, career or career-conditional appointment in the competitive service within *** >180 < days after satisfactory completion of your educational program and satisfactory completion of at least *** >480 < hours of career-related work experience. The work experience must have been completed prior to or concurrently with the completion of the requirements of your educational program.	
24	Appointment is made under the Internship Program on a NTE basis (Sch D, 213.3402(a) and Reg. 362.203(d)(ii)) (See Note 12)	Appointment does not confer eligibility to be noncompetitively converted to Term, career, or career-cond. appointment	A32	This appointment does not confer eligibility to be noncompetitively converted to a term, career or career-conditional appointment in the competitive service.	
25		Appointment may confer eligibility to be noncompetitively converted to a term, career, or career-conditional appointment	A35	This appointment may confer eligibility to be noncompetitively converted to a term, career or career-conditional appointment in the competitive service.	
26	Employee is eligible for Sole Survivorship veterans' preference	Block 23 of the SF-50 reflects "7"	E59	When "7" is reflected in block 23 employee is entitled to: No Points/Sole Survivorship Preference	
27	Appointment is made under the Veterans Recruitment Appointment (VRA) per <u>5 CFR 307</u> (See Note 6)	Appointment is to a competitive service agency and not to an excepted service agency or organization	A03	This appointment is intended to continue for 2 years. Upon satisfactory completion of 2-year trial period, you will be noncompetitively converted to career-conditional or career appointment. If performance is not satisfactory or you fail to satisfactorily complete program, employment will be terminated.	

Rule	If	And	Then Remark Code Is	And Remark Is	Notes
28	Employee is already on your agency's rolls	Will serve on two (or more) appointments at the same time	M36	Concurrent employment: (identify position or agency unit where concurrently employed)	7. Send copy of appointment SF- 50 to employee's servicing personnel office in the other
29	Action is a conversion to another appointment of an employee who is being retained on the agency's rolls under a temporary exception to RIF release	The retention has been documented with a 755/Exception to RIF Release action	K60	Action is in lieu of RIF separation of employee retained under temporary exception.	agency (reference <u>5 U.S.C.</u> <u>5533</u> ).
30	Employee is on the rolls of another agency on a part-time or intermittent appointment (See Note 7)	Will continue in that status after appointment in your agency	M34	On part-time or (intermittent) appointment in (agency).	
31	Employee is on the rolls of another agency in a nonpay status (See Note 7)		M33	On nonpay status in (agency).	
32	Employee is moving between executive agencies	Has reemployment rights in former agency or office	M02	You have reemployment rights for two years in (former agency) granted under Reg. 352.204 and OPM letter of (date).	
33	Employee is a Special Government Employee as defined in 18 USC 202		E21	You are subject to regulations governing conduct and responsibilities of Special Government Employees.	
34	Employee is converted from an SES appointment	Action is because of employee's less than fully successful performance in the SES or employee's failure to be recertified in the SES	M58	No SES reinstatement rights.	
35	Employee declined conversion to an SES appointment	Position to which employee is being assigned is an SES position	M52	Employee declined conversion to the Senior Executive Service and continues under (enter type of appointment) with all associated rights and benefits.	
36	Employee receives Presidential appointment that requires Senate confirmation	Appointment follows an SES Career Appt without a break in service and the employee elects to continue SES pay and/or benefits	E54	Employee elects to continue appropriate SES provisions under <u>5 U.S.C. 3392</u>	

Rule	If	And	Then Remark Code Is	And Remark Is	Notes
37	Employee was a career appointee in the SES who voluntarily requested a change to a position in the excepted service		M20	Action at employee's request.	Reserved
38	Appointment is at salary rate above minimum rate of the grade		P04	Pay set using the superior qualifications and special needs pay-setting authority under <u>5 CFR 531.212</u> .	
39	Employee is appointed to or converted to a position for which special higher-than-usual pay rate has been established under 5 U.S.C. 5305 to recruit and retain qualified employees		P05	Special rate under <u>5 U.S.C. 5305</u> .	
40	Employee is appointed to or converted to a supervisory GS position in which he or she supervises higher paid employees under another pay system	Employee receives a supervisory differential	P72	Salary in block 20 includes supervisory differential of \$	
41	Employee's salary will be based on their highest previous rate of pay	Salary for current action is based on that higher rate	P01	Previously employed at (pay plan; grade, level, or band; rate).	
42		Agency cannot verify salary before action is effective	P03	Pay rate shown is subject to upward retroactive adjustment upon verification of prior service.	
43	Rate increase (other than a WGI) is due on effective date of action	A separate <u>SF-50</u> is not being processed for the rate increase	P02	Pay rate fixed to include rate increase due on same date.	
44	Employee is returning after service with the American Institute in Taiwan an international organization, military service, or	Rate of pay includes increases he or she earned while absent	P06	Pay rate includes rate changes (e.g., within-grade increases) to which employee would have been entitled had he or she remained continuously in Federal service.	
45	absence due to compensable injury	Employee was promoted or reassigned while absent	K38	Promoted (or reassigned) from (former position and grade, level, or band), effective (date).	

Rule	If	And	Then Remark Code Is	And Remark Is	Notes
46	Employee is entitled to grade retention under <u>5 U.S.C. 5362</u>		X37	Employee is entitled to retain grade of (pay plan and grade) through (date).	8. Use this remark in addition to those required under Rules 45-
47			X61	Retained grade will not be used for purposes of reduction-in- force.	48.
48			X45	Retained grade will be used to determine employee's pay, retirement and insurance benefits, and promotion and training eligibility.	
49		Retained grade is equivalent to grade actually held by the employee prior to the reduction which entitled employee to grade retention	X35 (See Note 8)	The retained pay plan and grade (pay plan and grade) is equivalent to (pay plan and grade), the position from which reduced.	
50		Employee will be entitled another period of grade retention when the current period has ended	X38 (See Note 8)	On (date) employee will be entitled to retain grade of (pay plan and grade) through (date) provided the preceding period of grade retention is not terminated earlier.	
51	Employee was entitled to grade retention under <u>5 U.S.C. 5362</u> on previous position	Employee has accepted a change to a lower grade position for personal cause	X36	Grade retention entitlement terminated. No further entitlement to grade or pay retention.	
52	Employee was entitled to grade retention under <u>5 U.S.C. 5362</u> on previous position	Employee has accepted a change to a lower grade position for personal cause	X49	Change to lower grade, level, or band is for personal cause.	
53	Employee who is moved out of SES is entitled to a retained rate of pay higher than the pay of the position in which he or she is placed		X40	Employee is entitled to pay retention.	
54	Employee is entitled to pay retention under <u>5 U.S.C. 5363</u>				
55		Employee's salary is 150% of the maximum rate of the grade to which assigned	X41	Salary is 150% of maximum rate of grade, level, or band to which assigned.	

Rule	If	And	Then Remark Code Is	And Remark Is	Notes
56	Employee was entitled to pay retention under <u>5 U.S.C. 5363</u> on	Employee has accepted a change to a lower	X49	Change to lower grade, level, or band is for personal cause.	Reserved
57	previous position	grade position for personal cause	X42	Pay retention entitlement is terminated.	
58	Employee has been receiving severance pay or is eligible to begin	Is given a temporary appointment.	N24	Severance pay suspended by (agency paying the full severance pay) until termination of this appointment.	
59	receiving severance pay from another agency.	Appointment is not described in Rule 58	N25	Severance pay discontinued. Employee has received (total number) weeks of severance pay.	
60	Employee is a senior political appointee whose position is subject to the pay freeze for certain senior political officials		P83	*** >The employee occupies a position subject to the pay freeze for certain senior political officials. Notwithstanding otherwise applicable pay statutes and regulations, pay may be set and adjusted only in accordance with applicable provisions of the pay freeze statute.<	
61	Employee's total salary includes payment for AUO		P81	Salary in block 20 includes AUO of \$	
62	Reserved				
63	Employee's total salary includes availability pay		P99	Salary in block 20 includes availability pay of \$	
64	Employee who is reemployed under FICA, CSRS, or CSRS-Offset, is eligible to elect FERS as provided in Chapter 11 of <u>The CSRS and FERS Handbook</u>	Employee has been given <u>SF-3109</u> , FERS Election of Coverage, and receipt copy has been filed in employee's OPF	В60	Eligible to elect coverage under the Federal Employees Retirement System (FERS) within 6 months of the effective date of this personnel action. SF-3109 provided to employee.	
65	Employee's retirement code will be C, E, K, L, M, or N		M38	Frozen Service: (enter yrs and mos, e.g., "20 yrs, 5 mos")	
66	Employee's retirement code will be K, L, M, or N	Employee previously elected coverage under FERS	M46	Employee is covered by FERS because of previous election.	
67	Employee's retirement code will be K, KF, KR, L, LF, LR, M, MF, MR, N, NF, NR, or OF	Rule 66 does not apply	M45	Employee is automatically covered under FERS, FERS-RAE or FERS-FRAE.	
68	Employee has elected to retain coverage under a retirement system for NAF employees		B63	Elected to retain coverage under a retirement system for NAF employees.	

Rule	If	And	Then Remark Code Is	And Remark Is	Notes
69	Employee is eligible for life insurance coverage	Is working on a part-time schedule	B51	Basic Life insurance coverage and Additional Optional coverage (if elected) are based on the rate of annual salary payable to you as a part-time employee, not the full- time salary rate shown in block 20 of the SF-50. However, Basic Life insurance coverage is always at least \$10,000.	Reserved
70	Employee is eligible for health benefits coverage	Is working on a part-time schedule of 16-32 hours per week covered by the Federal Employees Part- Time Career Employment Act of 1978	B43	Government share of premium for health benefits coverage will be reduced because you are working part-time. You will have to pay the employee share of the premium plus the difference between what the Government pays for your enrollment and the amount the Government pays for a full-time employee.	
71	Employee elected health benefits coverage on last appointment	That coverage will continue	B44	Health benefits coverage continues.	
72	Employee moves from the jurisdiction of one payroll office to the jurisdiction of another (whether in same agency or in another	Elected not to enroll health benefits plan while in previous agency or office	B02	Elected not to enroll for health benefits.	
73	agency)	Canceled enrollment while in previous agency or office	B01	Canceled health benefits.	
74	Employment is on a short-term basis (i.e., employee is expected to work less than 6 months in each year) or is working on an intermittent basis		B03	Ineligible for health benefits.	
75	Action is appointment NTE or conversion to appointment NTE (NOA 171 or 571)	Is not described in Rule 74, use of remark code T11 is not applicable, and employee has not already made a health benefits enrollment decision	B52	Ineligible for health benefits until you complete 1 year of current continuous employment. Then you may elect health benefits for which you will be charged the full premium.	
76	Conversion is from intermittent employment without compensation (WC)		G29	Intermittent employment totaled (number) hours in work status from (date) to (date).	

Rule	If	And	Then Remark Code Is	And Remark Is	Notes
77	Conversion is from intermittent employment with pay		G30	Intermittent employment totaled (number) hours in pay status from (date) to (date).	10. When the employee submits the notice of annuity adjustment,
78	Reserved	***	***	***	follow your agency's procedures to forward it to the payroll office.
79	Employee is not eligible to earn annual or sick leave		B04	Ineligible for leave.	11. To determine the annual (pa)
80	Office that provides personnel service (including OPF maintenance) is not at the same location or is not part of the same organization as the one to which the employee is assigned (e.g., employee is located in Europe and OPF is maintained in Washington, DC, or employee works for agency A and receives personnel service from agency B).		M10	OPF maintained by (name and address of office).	rate, multiply by 12 the gross monthly annuity shown on the notice of annuity adjustment from OPM.
81	Will be reemployed annuitant		A17	As a reemployed annuitant, you serve at the will of the appointing officer.	
82	Will be reemployed annuitant	Salary will be reduced by the amount of the annuity because	P08	Annual salary to be reduced by the amount of your retirement annuity and by further cost of living increases.	
83		neither the Office of Personnel Management nor the agency has	P90	You are required to submit to the personnel office a copy of any subsequent notice from OPM of any change in your gross annuity rate. (See Note 10)	
84		approved a waiver under > <u>5 CFR, part</u> <u>553</u> <	P10	Annuity at present is \$ pa. (See Note 11)	
85	Reserved	***	***	***	
86	Appointment is for a temporary, seasonal, or intermittent employee	Employee is expected to work a schedule of less than 130 hours in a calendar month or is expected to work at least 130 hours in a calendar month for less than 90 days	В07	Ineligible for health benefits if you are a temporary, seasonal, or intermittent employee who is expected to work a schedule of less than 130 hours in a calendar month or you are expected to work at least 130 hours in a calendar month but for less than 90 days.	

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R	ule	If	And	Then Remark Code Is	And Remark Is	Notes
8	37	Employee receiving credit for non-Federal service under Section 6303(e) of title 5, United States Code, that otherwise would not be creditable		B73	You are receiving (enter yrs. and mos., e.g., 2 yrs., 6 mos.) credit towards your SCD-Leave shown in Block 31 for the following period(s) of non-Federal service: (list all applicable "from" and "to" dates). This time is permanently creditable unless you fail to complete 1 full year of continuous service with this agency.	Reserved
8	38	Employee receiving credit for active-duty uniformed service under Section 6303(e) of title 5, United States Code, that otherwise would not be creditable		B74	You are receiving (enter yrs. and mos., e.g., 2 yrs., 6 mos.) credit towards your SCD-Leave shown in Block 31 for the following period(s) of active-duty military service: (list all applicable "from" and "to" dates). This time is permanently creditable unless you fail to complete 1 full year of continuous service with this agency.	

## **Figures**

Figure 11-1. Legal Authority Codes Used for Schedule A, B, C, and D Appointments

	For Appointments under	Use Legal Authority Code (See The Guide to Data Standards for Translations)
Sch A	213.3102(a)	WAM
Sch A	213.3102(c)	WCM
Sch A	213.3102(d)	WDM
Sch A	213.3102(e)	WEM
Sch A	213.3102(i)(1)	W9N
Sch A	213.3102(i)(2)	W9P
Sch A	213.3102(i)(3)	W9R
Sch A	213.3102(j)	WJM
Sch A	213.3102(k)	WKM
Sch A	213.3102(I)	WLM
Sch A	213.3102(n)	WNM
Sch A	213.3102(o)	W6M
Sch A	213.3102(r)	W9S
Sch A	213.3102(s)	w9T
Sch A	213.3102(u) - Severe Physical Disabilities	WUM
Sch A	- Intellectual Disability	WTA
Sch A	- Psychiatric Disability	WTB
Sch A	213.3102(x)	WXM
Sch A	213.3102(z)	WZM
Sch A	213.3102(aa)	XAM
Sch A	213.3102(bb)	XBM
Sch A	213.3102(ff)	XFM
Sch A	213.3102(II)	XXM

	For Appointments under	Use Legal Authority Code (See The Guide to Data Standards for Translations)
Sch A	213.31xx - Agency-unique Sch A authority	>XZM<
Sch B	213.3202(j)	MCA
Sch B	213.3202(m)	YMM
Sch B	213.3202(n) <sup>1</sup>	YKB
Sch B	213.32xx. Agency-unique Sch B authority	Y5M
Sch C	213.33xx. Agency-unique Sch C authority	Y7M
Sch C	213.3302(a) Temp. transitional Sch C	Y9K
Sch C	Authority other than Sch C, 213.33xx, (i.e., law or E.O. that establishes a Sch C position)	Y8M
Sch D	213.3402(a) Intern Program	YEA
Sch D	213.3402(b) Recent Graduates Program	YEB
Sch D	213.3402(c) Presidential Management Fellows Program	YEC

<sup>1</sup> Effective November 30, 1999, agencies may no longer make new appointments under this authority; however, prior appointees under this authority may be promoted, demoted, or reassigned within the appointing agency while remaining under this appointing authority as long as there is no break in service.